

**Theme: Auditing -- Making a Difference -- Celebrating 25 Years of Leadership and Innovation**

Theme description: The Auditing Roundtable has provided a forum for discussion and networking among environmental, health, and safety auditors for 25 years. In that time the organization has grown, evolved, and matured. At the same time, the practice of EHS auditing has grown, evolved, and matured. EHS laws and regulations have also evolved as have the various agency programs for auditing and enforcement. Who would have predicted EHS auditor certification credentials, management system registrations, Responsible Care, The Voluntary Protection Program, Environmental Performance Track, Supplemental Environmental Projects, or the Green Chemistry Challenge?

EHS audit programs have helped leading companies identify needs, target resources, and improve performance and the lessons learned in the past 25 years are relevant for the future. At this meeting you will hear EHS auditing veterans talk about what it takes to get an audit program up and running for the first time and keep it running for over two decades. Learn how they successfully justified and defended their EHS audit program budgets, personnel, and other resources, or how they balanced between the concerns of risk avoidance, discovery, and working under attorney privilege. You'll hear case studies on audit program evolution – what drove the changes? Learn about the benefits as well as the failures and lessons learned.

Along the way, we'll have lots of opportunity for discussion, time to catch up with friends and colleagues, see the latest technology offerings, participate in special interest groups, and meet the new 2007-2008 Auditing Roundtable Board of Directors.

| <b>TUESDAY, SEPTEMBER 4, 2007 – Pre-Conference CEU Courses</b> |  |
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| 12:00 noon – 5:00 pm   | Roundtable Board Meeting   |
| 6:00 – 9:00 pm   | <p>CEU Course 1: Integrating Climate Change into EH&amp;S Audits<br/>Dr. Phillip Ludvigsen, Director, US Climate Change, Jacques Whitford</p> <p>This class will address integrating the assessment of "best practices" in Greenhouse Gas (GHG) management into a standard EHS audit. The course will include an overview of existing International and emerging State and Federal regulations as well as GHG monitoring, reporting and validation guidance such as ISO 14064/14065 and World Resource</p> |

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|                | <p>Institute's GHG Protocol. Practical advice will focus on pre-audit, on-site, and post-audit activities. Insight into actual GHG management program assessments will be provided along with suggested final report language.</p>  |
| 6:00 – 9:00 pm | <p>CEU Course 2: Basic Auditing Skills - Resolving Ethical Dilemmas and Managing Opening/Closing Conferences</p> <p>Lawrence B. Cahill, CPEA</p> <p>This course will address two important audit issues - how to handle ethical dilemmas that might arise on an audit and how to conduct effective opening and closing conferences.</p> <p>EH&amp;S audit team leaders and members are often faced with challenging ethical dilemmas during their visits to sites. Both The Auditing Roundtable (TAR) and the Board of Environmental, Health and Safety Auditor Certifications (BEAC) have established Ethical Codes of Conduct for auditors. They relate to topics such as: conflict of interest, independence, confidentiality, disclosure of material facts, due professional care, and auditor proficiency. Resolving ethical dilemmas often requires astute judgment and practical resolution of difficult issues. How an audit team handles these issues can often make or break an audit. About a half dozen case studies will be reviewed and evaluated in the first half of the course.</p> <p>Holding an effective opening/closing conference with site management and staff is a key component of a successful audit. These meetings should be led by the audit team leader, who should assure that senior site management, especially the site manager, is in attendance. Experienced auditors who have participated in many of these conferences recognize that site management can pose some interesting and, at times, unexpected questions of the team Leader and team members. Attendees will be asked to answer and respond to some of the most troublesome questions and issues.</p> <p>This course will be very interactive and will involve reviewing actual case studies from past audits. It is not a listening course; it is a thinking course.</p> |

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| <b>WEDNESDAY, SEPTEMBER 5, 2007 – How Did We Get Here?</b> |  |
| <b>MC – B. Ruble</b>                                       |  |
| 7:00 – 8:00  | Newcomer Welcome – Breakfast   |
|  | Non-Member Welcome – Breakfast   |
|  | Registration and Continental Breakfast – All Attendees   |
| 8:00 – 8:15  | Welcome, Quiz, and Introductions - MC  |
| 8:15 – 8:30  | Opening Remarks by The Auditing Roundtable President and Introduction of 2007-2008 Board of Directors  |
| 8:30 – 9:30  | Keynote speaker: Matt Koenings, North American Operations Director for DuPont Electronics & Communications Technologies  |
| 9:30 – 10:00   | Break – Vendor Exposition Opens  |
| 10:00 – 11:00  | <p>EHS Auditing Standards: Past, Present, and Future – John Nagy, ENSR and Paul Beatley, ENHESA</p> <p>Over the past 25 years, the discipline of EHS auditing has been shaped by various means, including the advent and continuing evolution of practice standards, as well as certification schemes related to them. The expectation is that EHS auditing standards will become ever more prominent in the future. Looking back and taking account of the present allows for an interesting perspective on the future of EHS auditing standards, including current proposals for new standards and modifications to existing standards, and the impact they are expected to have on the auditing profession.</p> |
| 11:00 – 12:00  | <p>Panel of Sages – Ambrose, Barzotti, Cahill, Diberto, Kane, Lund, Smith, Roig, &amp; Wasserman</p> <p>Our founding members and past presidents talk about their experiences initiating EHS audit programs, getting past hurdles, justifying value, getting into and out of sticky situations, etc.</p>   |
| 12:00 – 1:15   | Lunch at Regional Roundtables  |

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| 1:15 – 3:00                          | <p>Case study on audit program evolution – Eli Lilly</p> <ul style="list-style-type: none"> <li>▪ Stan Hickman will kick off with a discussion of the early days – the driver(s) for implementing the program and the first formal Lilly HSE audit.</li> <li>▪ John Winchester will cover the middle years – evolution of the audit program/process and challenges encountered.</li> <li>▪ Joyce Okantey will discuss auditing in the 21st Century – the current program and future plans.</li> </ul> <p>Case study on audit program evolution – Occidental Petroleum</p> <ul style="list-style-type: none"> <li>▪ Frank Friedman, former VP for EHS, will trace the management and audit programs' development from 1981 through 1993, focusing on drivers such as Love Canal, a SEC decree, and the development of an Environmental Committee of the Board.</li> <li>▪ Constance Manders, Corporate HES Manager, will discuss the continuing changes and improvements in those programs, reinforcing and expanding the leading edge efforts and the role of audits and auditors in maintaining excellence.</li> </ul> |
| 3:00 – 3:30                          | Break – Vendor Exposition   |
| 3:30 – 4:30<br>Concurrent<br>Session | <p>Greenhouse Gas Interest Group – Chair: Henry Balikov, EHS&amp;S Management Systems</p> <p>Greenhouse Gasses (GHG) and Global Warming are now front and center in the environmental agenda at many levels. Our recent Auditing Roundtable regional agendas have reflected growing member interest.</p> <p>Many of our members are just starting to get involved with issues related to Greenhouse Gases and Global Warming. A significant number of members have already done work in this area. This will be our first meeting. Please join us.</p> <p>International Interest Group – Chair: Paul Beatley, ENHESA</p> <p>Auditor Resources Interest Group – Chair: Alisa Otteni, URS</p> <p>Tour of Submarine Becuna – hosted by Ray Kane</p>  |

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| 4:30 – 5:30<br>Concurrent<br>Session | Management Systems Interest Group – Chair: Doug Hileman, PWC    |
|                                      | Legs/Regs Interest Group – Chair: Gary Lucks, Beyond Compliance |
|                                      | Health & Safety Interest Group – Chair: Jack Fearing, Aon       |
|                                      | Tour of Submarine Becuna – hosted by Ray Kane                   |
| 6:00 – 10:00                         | Anniversary Dinner – Sponsorship TBD                            |

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| <b>THURSDAY, SEPTEMBER 6, 2006 – Where To Next?</b> |   |
| <b>MC – Curt Johnson</b>                            |   |
| 7:00 – 8:00   | Continental Breakfast – All Attendees   |
| 8:00 – 8:15   | Convene/Quiz/Introductions/Announcements  |
| 8:15 – 9:15   | <p>Keynote speaker: Glen Hiemstra is an internationally respected futurist, he has advised professional, business, and governmental organizations for two decades.</p> <p>The future has lessons to teach us, if we listen to it. We have choices to make. Education, knowledge, discussion, and strategic action can transform the future.</p>   |
| 9:15 – 10:15  | <p>Incorporating EHS into Enterprise Risk Management – Gita Mondal, Pacific Gas &amp; Electric Company; Lawrence Heim, Marsh; James Margolis, ERM; and Warren Bird, Schering-Plough</p> <p>How do we know that the EH&amp;S audits/assessments performed at companies are aligned with top management's priorities? Panelists discuss the concept of environmental risk, expectations of insurance underwriters, and industry perspectives.</p> |
| 10:15 – 10:45                                       | Vendor Showcase   |
| 10:45 – 11:15                                       | Break – Vendor Exposition   |

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| 11:15 – 1:00 | Roundtable discussion of seed questions on the future of EHS management and auditing. Discussion continues through lunch. Results will be collected and presented Friday morning.  |
| 1:15 – 2:15  | <p>Auditor's Tool Kit Panel – Steve MacIntyre, Haley &amp; Aldrich; David Downs, EHS Management Partners; Reg Shiverick, Dakota Software; Jack Slotnick, Exxon-Mobil; Andrew Broadbent, Sunoco; and Margery Moore, BNA</p> <p>In the past 25 years, major technology changes have contributed to the changing practice of EHS auditing. Little things like laptop computers, digital cameras, and the internet have changed the way we communicate, do research, and manage audit follow-up. Where are we headed next?</p> |
| 2:15 – 2:45  | Break – Vendor Exposition  |
| 2:45 – 5:30  | <p>Industry Roundtables</p> <ul style="list-style-type: none"> <li>▪ Biotech/Pharmaceuticals</li> <li>▪ Chemicals</li> <li>▪ Food Products</li> <li>▪ General Industry</li> <li>▪ Gov't Facilities</li> <li>▪ Mining</li> <li>▪ Oil &amp; Gas</li> <li>▪ Pulp/ Paper</li> <li>▪ Power/Energy</li> <li>▪ Transportation</li> </ul>  |
| 6:00         | Reception – Sponsorship TBD  |
| 7:00         | Philadelphia Ghost Tour  |

**FRIDAY, SEPTEMBER 7, 2006 – Agency Perspectives**

**MC – Joe Cotier**

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| 7:00 – 8:00 | Continental Breakfast – All Attendees  |
| 8:00 – 8:15 | Convene/Introductions/Announcements  |
| 8:15 – 9:00 | Keynote Speaker – Mr. Granta Y. Nakayama, Assistant Administrator, US-EPA Office of Enforcement and Compliance Assurance (Invited) |



**The Auditing Roundtable Fall Meeting &  
Technology Exposition**  
**September 4-7, 2007, Philadelphia, PA**  
**Draft Agenda**

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| 9:00 – 10:00  | EPA Panel – Will Garvey; Susan O’Keefe; and Jay Benforado<br>The evolution of EPA regulatory, audit, and enforcement programs. |
| 10:00 – 11:00 | Open Mike and Raffle (You Must Be Present to Win)  |
| 11:30 – 12:30 | January 2008 Meeting Planning Pizza Party – Hosted by the<br>Meetings Steering Committee (Joe Cotier, Meeting Chair)           |

## **Meeting Sponsors**

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